



JUDICIAL COUNCIL OF CALIFORNIA

ADVISORY COMMITTEE ON AUDITS AND
FINANCIAL ACCOUNTABILITY FOR THE
JUDICIAL BRANCH

www.courts.ca.gov/auditcommittee.htm
auditcommittee@jud.ca.gov

AUDITS AND FINANCIAL ACCOUNTABILITY COMMITTEE

OPEN MEETING WITH CLOSED SESSION AGENDA

Open to the Public Unless Indicated as Closed (Cal. Rules of Court, rule 10.75(c)(1))

OPEN PORTION OF THIS MEETING IS BEING RECORDED

Date: October 19, 2017
Time: 3:00 PM – 4:00 PM
Location: Veranda A, 2860 Gateway Oaks Drive, Suite 400, Sacramento, CA 95833
Public Call-in Number: 1-877-820-7831; Public Listening Code 4045700

Meeting materials for open portions of the meeting will be posted on the advisory body web page on the California Courts website at least three business days before the meeting.

Agenda items are numbered for identification purposes only and will not necessarily be considered in the indicated order.

I. OPEN MEETING (CAL. RULES OF COURT, RULE 10.75(C)(1))

Call to Order and Roll Call

Approval of Minutes

Not Applicable – First committee meeting

Opening Comments by the Chair and Vice-Chair

Presenter(s)/Facilitator(s): Hon. Judge Rosenberg – Chair; Hon. Justice Siggins – Vice Chair

II. PUBLIC COMMENT (CAL. RULES OF COURT, RULE 10.75(K)(2))

Public Comment

Members of the public requesting to speak during the public comment portion of the meeting must place the speaker's name, the name of the organization that the speaker represents if any, and the agenda item that the public comment will address, on the public comment sign-up sheet. The sign-up sheet will be available at the meeting location at least one hour prior to the meeting start time. The Chair will establish speaking limits at the beginning of the public comment session. While the advisory body welcomes and encourages public comment, time may not permit all persons requesting to speak to be heard at this meeting.

Written Comment

In accordance with California Rules of Court, rule 10.75(k)(1), written comments pertaining to any agenda item of a regularly noticed open meeting can be submitted up to one complete business day before the meeting. For this specific meeting, comments should be e-mailed to

auditcommittee@jud.ca.gov or mailed or delivered to Judicial Council of California, Audit Services, 455 Golden Gate Avenue, 5th Floor, San Francisco, California 94102. Only written comments received by 3:00 PM on October 18th will be provided to advisory body members prior to the start of the meeting.

III. INFORMATION ONLY ITEMS (NO ACTION REQUIRED)

Info 1

Committee Responsibilities and Open Meeting Requirements

Discuss context for creation of the committee and its responsibilities under California Rules of Court, Rule 10.63 and clarify those audits that must be heard in closed session per Rule 10.75(d)(6).

Presenter(s)/Facilitator(s): Jody Patel, Chief of Staff – Judicial Council and Grant Parks,
Principal Manager – Judicial Council’s Audit Services

Info 2

Report from Audit Services

Presentation of Audit Service’s organizational structure, audit staffing levels, and a listing of current audits in progress.

Presenter(s)/Facilitator(s): Grant Parks, Principal Manager – Judicial Council’s Audit Services

IV. DISCUSSION AND POSSIBLE ACTION ITEMS (ITEMS 1-5)

Item 1

Annual Audit Plan (Action Required)

Committee staff requests approval of the annual audit plan for fiscal year 2017-18.

Presenter(s)/Facilitator(s): Grant Parks, Principal Manager – Judicial Council’s Audit Services

Item 2

Committee’s Annual Agenda and General Discussion (Action Not Required)

“Q&A” and open-ended roundtable discussion for members to provide input on potential initiatives for inclusion the committee’s annual agenda, per California Rules of Court, Rule 10.11(i)(2).

Presenter(s)/Facilitator(s): Grant Parks, Principal Manager – Judicial Council’s Audit Services

Item 3

External Audit Report – State Auditor’s Office (Action Required)

Review and approve for posting audit report number 2016-302: *Judicial Branch Procurement – The Five Superior Courts We Reviewed Mostly Adhered to Required and Recommended Practices, but Some Improvements are Needed.*

Presenter(s)/Facilitator(s): Grant Parks, Principal Manager – Judicial Council’s Audit Services

Item 4

External Audit Report – State Controller’s Office (Action Required)

Review and approve for posting the audit titled, *Fiscal Compliance Audit of the Judicial Council of California, Fiscal Year 2015-16*.

Presenter(s)/Facilitator(s): Grant Parks, Principal Manager – Judicial Council’s Audit Services

Item 5

External Audit Report – Department of Child Support Services (Action Required)

Review and approve for posting various audits of the trial courts performed by the Department of Child Support Services that were focused on the AB 1058 grant program.

Presenter(s)/Facilitator(s): Grant Parks, Principal Manager – Judicial Council’s Audit Services

V. ADJOURNMENT

Adjourn to Closed Session

VI. CLOSED SESSION (CAL. RULES OF COURT, RULE 10.75(D))

Item 6

Draft Audit Report – Rule of Court 10.75(d)(6) (Action Required)

Non-final audit reports or proposed responses to such reports

Review and approve Audit Services’ draft audit report of the Superior Court of California, County of Placer for public posting per Rule of Court 10.63(c)(1).

Presenter(s)/Facilitator(s): Grant Parks, Principal Manager – Judicial Council’s Audit Services;
Robert Cabral, Manager- Judicial Council’s Audit Services

Adjourn Closed Session