



JUDICIAL COUNCIL  
OF CALIFORNIA

ADVISORY COMMITTEE ON AUDITS AND  
FINANCIAL ACCOUNTABILITY FOR THE  
JUDICIAL BRANCH

<http://www.courts.ca.gov/committee.htm>  
[committee@jud.ca.gov](mailto:committee@jud.ca.gov)

**AUDITS AND FINANCIAL ACCOUNTABILITY COMMITTEE**

**OPEN MEETING WITH CLOSED SESSION AGENDA**

Open to the Public Unless Indicated as Closed (Cal. Rules of Court, rule 10.75(c)(1))

THIS MEETING IS BEING CONDUCTED BY ELECTRONIC MEANS

OPEN PORTION OF THIS MEETING IS BEING RECORDED

---

**Date:** June 19, 2018  
**Time:** 12:15 – 1:30 PM  
**Public Call-In Number:** 1-877-820-7831; Public Listening Code 4045700

---

Meeting materials for open portions of the meeting will be posted on the advisory body web page on the California Courts website at least three business days before the meeting.

Agenda items are numbered for identification purposes only and will not necessarily be considered in the indicated order.

---

**I. OPEN MEETING (CAL. RULES OF COURT, RULE 10.75(C)(1))**

---

**Call to Order and Roll Call**

**Opening Comments by the Chair and Vice-Chair**

Presenter(s)/Facilitator(s): Hon. Judge Rosenberg—Chair; Hon. Justice Siggins—Vice  
Chair

**Approval of Minutes**

Approve minutes of the May 23, 2018, audit committee meeting.

Approve minutes of the June 1, 2018, (action taken by e-mail)

---

**II. PUBLIC COMMENT (CAL. RULES OF COURT, RULE 10.75(K)(2))**

---

**Written Comment**

In accordance with California Rules of Court, Rule 10.75(k)(1), written comments pertaining to any agenda item of a regularly noticed open meeting can be submitted up to one complete business day before the meeting. For this specific meeting, comments should be e-mailed to insert e-mail or mailed or delivered to Judicial Council of California, Audit Services, 455 Golden Gate Avenue, 5<sup>th</sup> Floor, San Francisco, California 94102 attention: Audit Services. Only written comments received by 12:15 PM on June 18, 2018 will be provided to advisory body members prior to the start of the meeting.

---

**III. INFORMATION ONLY ITEMS (NO ACTION REQUIRED)**

---

**Info 1**

**Report from Audit Services**

Overview of Audit Services' work in progress and staffing levels as well as a summary of external audits being performed by other governmental agencies.

Presenter(s)/Facilitator(s): Grant Parks, Principal Manager – Judicial Council's Audit Services

**Info 2**

**General Discussion by Members of the Committee**

Open discussion by committee members regarding any topic within the scope and purview of the Advisory Committee for Audits and Financial Accountability for the Judicial Branch.

Presenter(s)/Facilitator(s): Grant Parks, Principal Manager – Judicial Council's Audit Services

---

**IV. DISCUSSION AND POSSIBLE ACTION ITEMS (ITEM 1)**

---

**Item 1**

**Review, Discussion & Potential Approval of Annual Audit Plan (Action Required)**

Discuss audit committee members' desire for additional changes to the annual audit plan, including courts selected for audit and areas to be reviewed. Committee's eventual approval of an annual audit plan is required per California Rules of Court, Rule, 10.63(c)(1)

Presenter(s)/Facilitator(s): Grant Parks, Principal Manager – Judicial Council's Audit Services

---

**V. ADJOURNMENT**

---

**Adjourn to Closed Session**

---

**VI. CLOSED SESSION (CAL. RULES OF COURT, RULE 10.75(D))**

---

**Item 2**

**Draft Audit Report – Rule of Court 10.75(d) (6) (Action Required)**

***Non-final audit reports or proposed responses to such reports***

Review and approve Audit Services' draft audit report of the Superior Court of California, County of Solano for public posting per Rule of Court 10.63(c)(1).

Presenter(s)/Facilitator(s): Grant Parks, Principal Manager – Judicial Council’s Audit Services; Robert Cabral, Manager- Judicial Council’s Audit Services

**Item 3**

**Draft Audit Report – Rule of Court 10.75(d) (6) (Action Required)**

***Non-final audit reports or proposed responses to such reports***

Review and approve Audit Services’ draft audit report of the Superior Court of California, County of Colusa for public posting per Rule of Court 10.63(c)(1).

Presenter(s)/Facilitator(s): Grant Parks, Principal Manager – Judicial Council’s Audit Services; Robert Cabral, Manager- Judicial Council’s Audit Services

**Item 4**

**Draft Audit Report – Rule of Court 10.75(d) (6) (Action Required)**

***Non-final audit reports or proposed responses to such reports***

Review and approve Audit Services’ draft audit report of the Superior Court of California, County of Calaveras for public posting per Rule of Court 10.63(c)(1).

Presenter(s)/Facilitator(s): Grant Parks, Principal Manager – Judicial Council’s Audit Services; Robert Cabral, Manager- Judicial Council’s Audit Services

---

**VII. ADJOURNMENT**

---

**Adjourn the meeting**